

# CASEFINDING

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# Introduction

- Casefinding
  - Definition
  - Purpose
  - Methods
- Sources vs Resources
- Reportable Cancer Conditions
- Non-Reportable Conditions
- Ambiguous Terminology





**Leave No  
Stone  
Unturned**



# What is Cancer Casefinding?

- Process of identifying all reportable cases through review of source documents and case listings
- Casefinding covers a range of cases that need to be assessed to determine whether or not they are reportable



# The Purpose

- Assure that every cancer case has been reported
- Avoid over-counting & under-counting cases
- Monitor multiple primaries
- Document completeness of your registry



# Casefinding Methods:

- **Active** - registry personnel screen source documents
- **Passive** - other health care professionals notify registrar of potential cases
- **Combination**



# Casefinding Sources



**Hospitals**

Inpatient  
Clinics

Outpatient (ED, Imaging)

Treatment Centers



# Casefinding Sources



**Central  
Registry**

Treatment Centers  
Physician Offices  
Path Labs  
Death Certificates  
Bordering State Registry





# Casefinding Source Documents

- Epath: Pathology/Cytology/Autopsy Reports
- Disease Index
- Radiation Therapy Logs
- Nuclear Medicine
- Chemotherapy Outpatient Logs
- Emergency Room Records
- Radiology
- Admission/Discharge Documents
- In-patient
- Out-patient
- Surgery Logs and Schedules
- Pain Clinic Logs
- Autopsy Reports

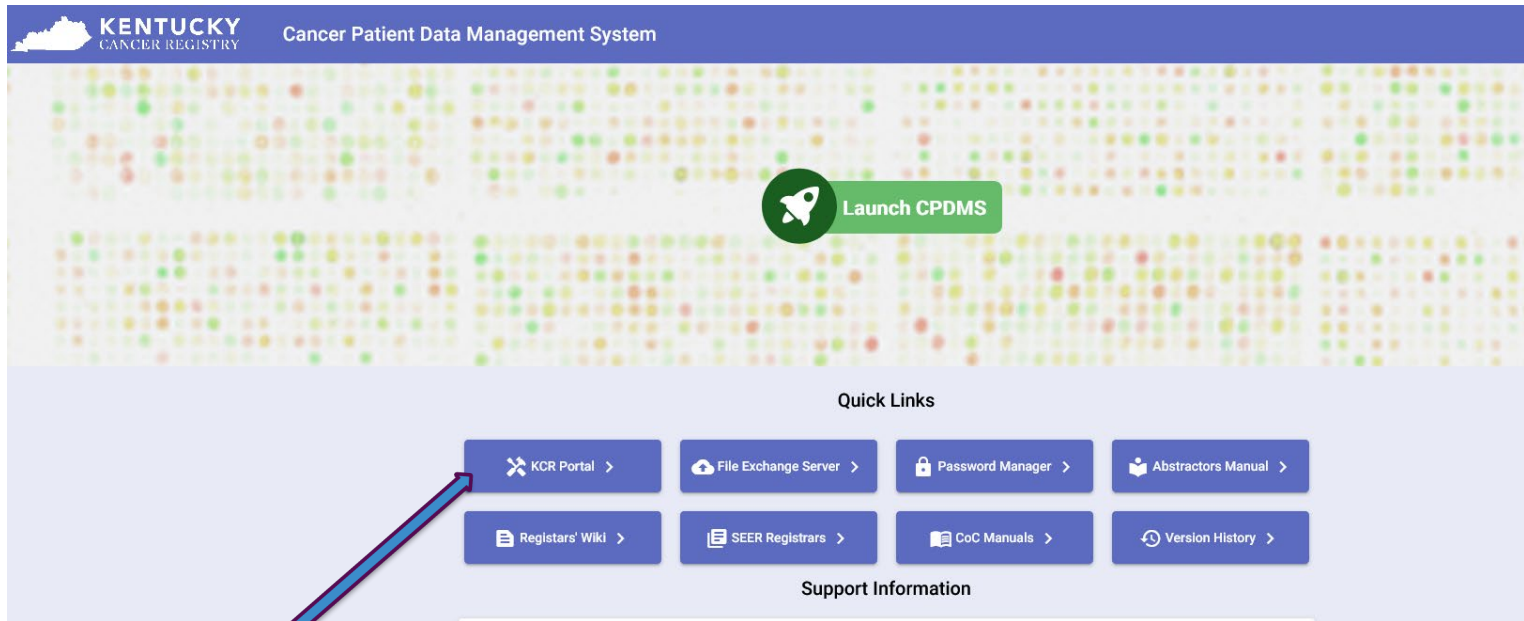


# Electronic Pathology Reporting

- Electronic Pathology Reporting (Epath)
  - Web-based;
    - Access from home
- Captures approximately 98% of Kentucky path reports
  - Hospitals
  - Free-standing laboratories



# Access to Epath...



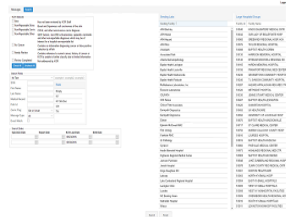
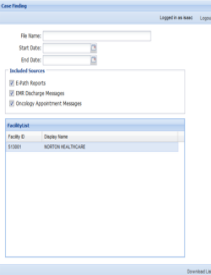
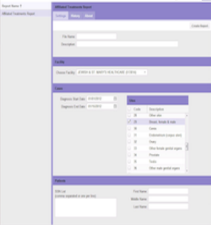
KCR Portal



# KCR Web Application Portal

## Kentucky Cancer Registry Web Application Portal

Logged in as sgray Logout

Preview	Name	Description
	<a href="#">Patient Message Review App</a>	<p>Search Epath and Diagnostic Radiology (eRad) records based on criteria such as SSN, Name, Medical Record Number, and Observation Date</p> <ul style="list-style-type: none"> <li>- Download Epath and eRad reports as PDFs</li> <li>- Download lists of EPath and eRad reports as .XLS files</li> </ul>
	<a href="#">Case Finding List</a>	<p>Create a casefinding list for any date range from multiple electronic sources including EPath, EMR, and Oncology Appointment data. Results are downloaded as an .XLS file and are grouped by patient name. Each patient has an indication of the source of electronic casefindings data.</p>
	<a href="#">Web Reports</a>	<p>A General Purpose Reporting interface for many types of configurable reports from KCR data sets.</p> <p>The Treatment Report allows you to select a set of patient records from your facility based on diagnosis date, primary site code, and other criteria. It matches those patients with the central registry to identify other facilities that have reported the same patient. Once it finds matching patients at other facilities, it displays only those patients where there are discrepancies in the treatments stored in your database as compared to the treatments stored in the other facility databases.</p>



# What E-path Looks Like

[Back To Portal Home](#)

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Messages [Search](#)

KCR Statuses

☐ New

Has not been reviewed by KCR Staff

☐ Non-Reportable Skins

Basal and Squamous cell carcinomas of the skin

☐ Non-Reportable Cervix

CIN iii, and other non-invasive cervix diagoses

☐ Non-Reportable Other

Non-CNS schwannomas, appendix carcinoids, and other non-reportable diagnoses which may be of interest for a hospital non-reportable list

☐ No Cancer

Contains no information diagnosing cancer or false positive selection by ePath

☐ Needs Review

Contains reference to current cancer, history of cancer or KCR is unable to further classify due to limited information

☐ Review Completed

Not addressed by KCR

[Check All](#)

[Uncheck All](#)

Search Fields

All Text example1, example2, example3

SSN:

State

First Name:

Empty

Last Name:

KY

Medical Record:

KY 5th Dist.

Path Id:

OH

CDF/Cervix Flag:

Set, Unset, or CDF

TN

Message Type:

All

Exact Match:

☐

Search Dates

Specimen Date

Report Date

KCR Load Date

Birth Date

[Sending Labs](#)

Sending Facility ↑

ARH Beckley

ARH Harlan

ARH Hazard

ARH Misc

Ameripath

Associated Path

Atlanta Dermatopathology

Baptist Health Hardin

Baptist Health Lexington

Baptist Health Louisville

Baptist Health Madisonville

Baptist Health Paducah

BioReference Laboratories, Inc

Bostwick Laboratories

CBLPATH

CPA Norton

Cincinnati Children's

Clark Co Regional MC

Clinical Path Associates

Dermpath Diagnostics

Dianon

Ephraim McDowell RMC

First Urology

Frankfort RMC

GI Pathology

Gynecor

Hardin Memorial Hospital

Highlands Regional Medical Center

[Large Hospitals/Groups](#)

Facility Id

Facility Name

90201

TRAINING DATABASE

510040

KING'S DAUGHTERS' MEDICAL CNTR

510048

FLAGET MEMORIAL HOSPITAL

510065

GREENVIEW REGIONAL HOSP, HCA

510076

TAYLOR REGIONAL HOSPITAL

510088

BAPTIST HEALTH-CORBIN

510130

HARRISON MEMORIAL HOSPITAL

510140

EPHRAIM MCDOWELL REGIONAL MC

510165

BAPTIST HEALTH-HARDIN

510195

FRANKFORT REGIONAL MED CENTER

510230

GEORGETOWN COMMUNITY HOSPITAL

510240

T J SAMSON COMMUNITY HOSPITAL

510275

HARLAN APPALACHIAN REG HOSP

510287

HAZARD APPALACHIAN REG MED CTR

510320

DEACONESS HENDERSON HOSPITAL

510330

JENNIE STUART MEDICAL CENTER

510407

BAPTIST HEALTH-LEXINGTON

510420

SAMARITAN HOSPITAL

510455

UK HEALTHCARE

510550

UNIVERSITY OF LOUISVILLE HOSP

510670

BAPTIST HEALTH-DEACONESS MADISONVILLE

510685

ARH OUR LADY OF THE WAY HOSP

510710

MEADOWVIEW HOSPITAL

510715

MIDDLESBORO APPALACHIAN REG

510717

ST CLAIRE MEDICAL CENTER

510745

ROCKCASTLE COUNTY HOSPITAL

510750

MURRAY-CALLOWAY COUNTY HOSP

510810

LOURDES HOSPITAL

510815

BAPTIST HEALTH-PADUCAH

510820

BAPTIST HEALTH-LEXINGTON

[Search](#)

[Reset](#)




# Case Finding List

## Case Finding

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File Name:

Start Date:  

End Date:  

### Included Sources

- ☒ E-Path Reports
- ☒ EMR Discharge Messages
- ☒ Oncology Appointment Messages
- ☒ CPDMS Patient Summary

### FacilityList

Facility ID	Display Name
510165	HARDIN MEMORIAL HOSPITAL
510407	BAPTIST HEALTH-LEXINGTON
510455	UK HEALTHCARE
510550	UNIVERSITY OF LOUISVILLE HOSP
510900	BAPTIST HEALTH-RICHMOND
513001	NORTON HEALTHCARE
513014	JEWISH & ST. MARY'S HEALTHCARE
513016	KENTUCKY ONE HEALTH LEXINGTON

[Download List](#)



# Searching Web Reports

Logged In As vicki

[Logout](#)

Report Name ↑	Abstracting Activity By Facility
Abstracting Activity By Facility	<a href="#">Settings</a> <a href="#">History</a> <a href="#">About</a>
Abstracting Activity Details By User	<a href="#">Create Report</a>
Abstracting Activity Summary By User	
Abstracts Downloaded Report	File Name: <input type="text"/>
Affiliated Treatments Report	Description: <input type="text"/>
Retouched Cases Details	
Retouched Cases Summary	
	<b>Facility</b>
	Choose Facility: <input type="text" value="EHR NONHOSPITAL FACILITIES (513018)"/>
	<b>Cases</b>
	Activity Start Date: <input type="text"/>
	Activity End Date: <input type="text"/>



# Web Treatment Report

Report Name  
Affiliated Treatments Report

Affiliated Treatments Report

Description

Settings

History

**Treatment records from all affiliated facilities where the treatment data is inconsistent.**

This report allows you to select a set of patient records from your facility based on diagnosis date, primary site code, and other criteria. It matches those patients with the central registry to identify other facilities that have reported the same patient. Once it finds matching patients at other facilities, it displays only those patients where there are discrepancies in the treatments stored in your database as compared to the treatments stored in the other facility databases.

The treatments displayed will include all treatments from your facility and all treatments from the other facility, therefore you should review the data to see if another facility has better treatment information. When reviewing treatments, you may find additional treatments, better dates, better treatment notes, or you may find that your own treatment information is the best available.

**Note:** You should also review the class of case to determine if you need to make changes, such as changing a 10 to a 00.

This report does not display patients where your facility is the only reporting facility or where the treatment records among all facilities are the same.

**Sample Report:**

	A	B	C	D	E	F	G	H	I	J
1										
2	SSN	Last Name	First Name	Facility ID	Last Contact	Survival				
3	001234567	ANDERSON	JANET	741308	10/24/10	1				
4	001234567	ANDERSON	JANET	544301	6/16/10	1				
5										
6		Sequence 1	Facility ID	Site	Diagnosis Date	Class	Abstractor			
7			741308	29	3/29/09	12	AB			
8			544301	29	3/18/09	21	CD			
9										
10			Therapies	Facility ID	Therapy Date	Type	Procedure		Treat. Facility	
11				741308	3/29/09	N	02 - Biopsy of primary site		KY RURAL HOSPITAL (564009)	
12					Notes:		BIOPSY LEFT BREAST 03/29/09 DR. OFFICE			
13					4/28/09	S	51 - Mod rad mastectomy without r		MAIN STREET HOSPITAL (741308)	
14					Notes:		MARGINS NEGATIVE			
15					7/12/09	C	3 - Chemotherapy, multiple agents		MAIN STREET HOSPITAL (741308)	
16					Notes:		PT STARTED CHEMO AT MSH ON 7/12/09, TCX4 PLANNED			
17					11/1/09	H	1 - Hormone therapy agents, NOS		MAIN STREET HOSPITAL - NHF (512345)	
18					Notes:		TAMOXIFEN, DR. SANDERS, 11/1/09			
19										
20				544301	4/28/09	S	61 - Radical mastectomy NOS with		MAIN STREET HOSPITAL (741308)	
21					Notes:		LEFT RADICAL MASTECTOMY AT MAIN STREET HOSPITAL ON 04/28/2009			
22					8/9/09	C	3 - Chemotherapy, multiple agents		COUNTY ONCOLOGY ASSOCIATES (5121	
23					Notes:		TAXOTERE AND CYTOXAN STARTED 08/09/2009 AT METRO ONCOLOGY ASSOCIATES			
24					10/27/09	R	1 - Beam radiation		BAIRD APPALACHIAN REG MED CTR (544	
25					Notes:		33 FRACTIONS TOTAL			





# Casefinding Resources

- Reportable list of cancers
- ICD-O-3.2 (purple book + updates)
  - NAACCR <https://www.naaccr.org/icdo3/>
- ICD-10 code book
- Solid Tumor Coding Rules
- Hematopoietic Database
- Master patient list
- KCR Casefinding Rules



# Reportable ICD-10 codes

<https://seer.cancer.gov/tools/casefinding/>  
[www.kcr.uky.edu/manuals/cpdms-help/cpdms.htm](http://www.kcr.uky.edu/manuals/cpdms-help/cpdms.htm)

**Note:** If you have been using casefinding lists, i.e., disease index, be sure to visit the links above for the most up to date list of reportable ICD10 codes

- Use the casefinding lists to screen prospective cases and identify cancer cases for inclusion in the registry.
- A casefinding list is **not** the same as a reportable list.
- Casefinding lists are intended for searching a variety of cases so as not to miss any reportable cases.



# Hematopoietic Manual & Database

[Home](#) ▶ [Registry Operations](#) ▶ [Hematopoietic and Lymphoid Neoplasm Database](#) ▶ [ICD Code Lists](#)

## ICD Code Lists

[Search Database](#) [ICD-O-3 Code Lists](#)

[Downloads](#) ▾

Displaying code lists for:

ICD-O-3 ▾

▼ ICD-O-3	Name	Reportability
9590/3	<a href="#">Malignant lymphoma, NOS</a>	for cases diagnosed 1978 and later
9591/1	<a href="#">Monoclonal B-cell lymphocytosis, non-CLL-type</a>	This neoplasm is not reportable
9591/3	<a href="#">Non-Hodgkin lymphoma, NOS</a>	for cases diagnosed 1978 and later
9596/3	<a href="#">B-cell lymphoma, unclassifiable, with features intermediate between diffuse large B-cell lymphoma and classic Hodgkin lymphoma</a>	for cases diagnosed 2001 and later
9597/3	<a href="#">Primary cutaneous follicle centre lymphoma</a>	for cases diagnosed 2010 and later
9650/3	<a href="#">Classic Hodgkin lymphoma</a>	for cases diagnosed 1978 and later
9651/3	<a href="#">Lymphocyte-rich classic Hodgkin lymphoma</a>	for cases diagnosed 1992 and later
9652/3	<a href="#">Mixed cellularity classic Hodgkin lymphoma</a>	for cases diagnosed 1978 and later

[https://seer.cancer.gov/seertools/hemelymph/code\\_list/](https://seer.cancer.gov/seertools/hemelymph/code_list/)



# What are “Reportable”?

## SEER Manual Page 6-14

- Carcinomas, sarcomas, melanomas, leukemia, lymphomas, etc.
- Behavior code /0 benign or /1 borderline for primary intracranial and CNS tumors only (9580/0)
- Behavior code /2 In situ or /3 Invasive for other sites (8500/2 or 8500/3)
- Metastatic tumors
- Cancers diagnosed using approved ambiguous terms or phrases when they are used with a term such as cancer, carcinoma, sarcoma, etc.



# Reportable (cont'd)

Intraepithelial neoplasia [these examples are not a complete list]:

- Vagina (VAIN III)
- Vulva (VIN III)
- Anus/Anal Canal (AIN III)
- Laryngeal (LIN III)
- Lobular neoplasia (LN III) (breast)
- Lobular intraepithelial (LIN III) (breast)
- Pancreas (PanIN III)
- SIN III – excluding cervix
- Penile (PeIN III)



# Not Reportable

## SEER Manual Page 7

- b. Do **not** report (Exceptions to reporting requirements)
  - i. **Skin** primary (C440-C449) with any of the following histologies
    - Malignant neoplasm (8000-8005)
    - Epithelial carcinoma (8010-8046)
    - Papillary and squamous cell carcinoma (8050-8084)
    - Squamous intraepithelial neoplasia III (SIN III) (8077) of skin sites coded to C44\_
    - Basal cell carcinoma (8090-8110)

**Note:** If the registry collects basal or squamous cell carcinoma of **skin** sites (C440-C449), sequence them in the 60-87 range and do not report to SEER.
  - ii. **In situ** carcinoma of **cervix** (/2), any histology, cervical intraepithelial neoplasia (**CIN III**), or SIN III of the cervix (C530-C539)

**Note:** Collection stopped effective with cases diagnosed 01/01/1996 and later. As of the 2018 data submission, cervical in situ cancer is no longer required for any diagnosis year. Sequence all cervix in situ cases in the 60-87 range regardless of diagnosis year.
  - iii. Prostatic intraepithelial neoplasia (PIN III) (C619)

**Note:** Collection **stopped** effective with cases diagnosed 01/01/2001 and later.
  - iv. Colon atypical hyperplasia
  - v. High grade dysplasia in colorectal and esophageal primary sites

**Not a Complete list**



# Reportable - Mucosal Skin Sites

Following sites are **reportable** for skin malignancies:

- ⦿ Lip (C00.0-C00.9)
- ⦿ Anus or Anal Canal (C21.0-C21.1)
- ⦿ Vagina (C52.9)
- ⦿ Vulva (C51.0-C51.9)
- ⦿ Clitoris (C51.2)
- ⦿ Penis (C60.0-60.9)
- ⦿ Scrotum (C63.2)



# Not Reportable Skin Sites

Skin cancers (C44.0 – C44.9):

ICDo-3 Code	Histological Term
8000-8005	Malignant Neoplasm, NOS
8010-8046	Epithelial Carcinomas
8050-8084	Papillary and Squamous Cell Carcinomas
8090-8110	Basal Cell Carcinomas
8077	Perianal skin



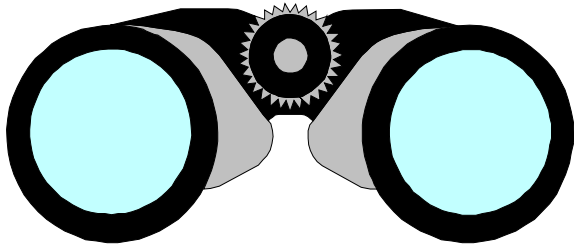


# REMEMBER:

*Careful screening leads to better casefinding!!!*

Compare potential new cases to CPDMS to determine if cases are new patients and/or new primaries





## Look closely for these...

Cancer cases diagnosed and/or treated in your facility.

Typically you will **NOT** abstract:

- Consultations *ONLY*
- 2nd opinions *ONLY*
- Transient care *ONLY*
- Hx of cancer *ONLY*



# What if you find these?

Pathology or cytology reports for specimens sent from MD office or other outside facility to your hospital that indicates malignancy but no treatment at your hospital

If a case is not reportable by you, but is incident to the state - please forward a copy of the report to KCR

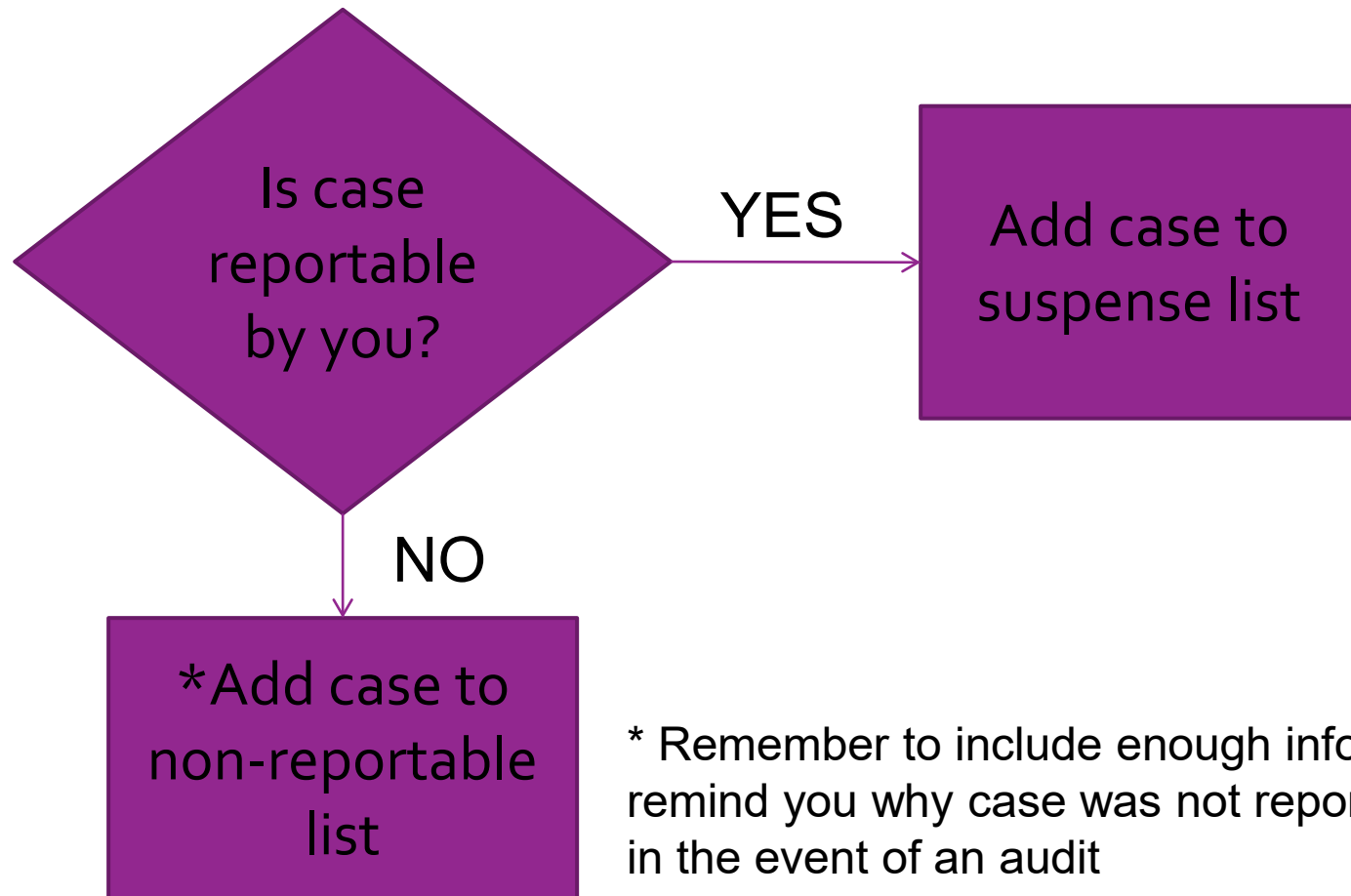


# Casefinding Audits...

- Complete case ascertainment for reporting facilities
- Performed by Central Registry Annually



# Managing Your Lists



\* Remember to include enough info to remind you why case was not reportable in the event of an audit



# **Casefinding Complete!**



# **Mission Accomplished!**

